

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Attorney Docket No. 4501-1016 U.S. Application No. 10/530209
INTERNATIONAL APPLN. NO. PCT/NZ2003/000222	INTERNATIONAL FILING DATE October 3, 2003	PRIORITY DATE CLAIMED October 4, 2002
TITLE OF INVENTION: THERAPEUTIC USES OF β-CASEIN A² AND DIETARY SUPPLEMENT CONTAINING β-CASEIN A²		
APPLICANT(S) FOR DO/EO/US: Julie Hazel CAMPBELL, Kristy Ann TAILFORD and Corran Norman Stuart MCLACHLAN		

Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)).
The submission must include items (5), (6), (9) and (21) indicated below.
- ☒ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - ☒ is attached hereto (required only if not communicated by the International Bureau).
 - ☐ has been communicated by the International Bureau. See attached PCT/IB/308.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))
 - ☐ is attached hereto.
 - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - ☐ are attached hereto (required only if not communicated by the International Bureau).
 - ☐ have been communicated by the International Bureau.
 - ☐ have not been made, however, the time limit for making such amendments has NOT expired.
 - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))
- ☐ A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv).))
- ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- ☐ Information Disclosure Statement (IDS) w/PTO-1449 - ☐ Copy of IDS citations.
- ☐ Assignment Papers (cover sheet & document(s)).
- ☒ A preliminary amendment.
- ☒ An Application Data Sheet under 37 C.F.R. 1.76.
- ☒ Itemized Return Receipt Postcard
- ☐ A substitute specification.
- ☐ Power of Attorney and Statement under 37 CFR §3.73(b)
 - ☐ Newly executed Power of Attorney
 - ☐ A change of Power of Attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).
- ☒ Other items or information: Search Report (form PCT/ISA/210 and International Preliminary Examination Report (form PCT/IPEA/416.

U.S. APPLICATION NO. 10/530209		INTERNATIONAL APPLN. NO. PCT/NZ2003/000222		ATTORNEY DOCKET NO. 4501-1016	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input checked="" type="checkbox"/> The following fees are submitted: <div style="text-align: center; margin-top: 10px;">PCT FEES - NATIONAL STAGE</div>				CALCULATIONS PTO USE ONLY	
<u>Fee Description</u>					
Basic National Stage Fee				\$150.00	
Non ISA/US Search Provided National Stage Search Fee				\$200.00	
<input type="checkbox"/> US was the IPEA And all claims satisfied the provisions of PCT Article 33 (1)-(4) National Stage Examination Fee				\$100.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (Fee Code 1617/2617)				\$65.00	
National Stage Application size fee each additional 50 sheets in excess of 100 Fee Code 1681/2681	Additional Sheets 34 - 100 = = X <div style="border-top: 1px solid black; width: 100px; margin: 0 auto;">50</div>	Fee From Below <u>\$125.00</u>	\$0.00		
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Independent Claims Fee Codes 1614 / 2614		3 - 3 =		x \$100.00	\$0.00
Total Claims Fee Codes 1615 / 2615		16 - 20 =		x \$25.00	\$0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616				+ \$360.00	\$0.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). Fee Code 1618					\$0.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021) <div style="text-align: right;">\$40.00 per property +</div>					\$0.00
TOTAL FEES ENCLOSED				=	\$ 515.00
				Amount to be refunded:	\$
				Charged:	\$
<input checked="" type="checkbox"/> A check in the amount of \$ 515.00 to cover the above fees is attached. <input type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.					
SEND ALL CORRESPONDENCE TO: YOUNG & THOMPSON 745 South 23 rd Street Arlington, VA 22202 Telephone: (703) 521-2297 Facsimile: (703) 685-0573			<div style="font-family: cursive; font-size: 1.2em; margin-bottom: 5px;">Benoit Castel</div> SIGNATURE		
Y&T Customer No. 00466 BC/crt			Benoit Castel, Reg. No. 35,041 NAME, REGISTRATION NUMBER April 4, 2005 DATE		